



3624

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Koken YAMAMOTO et al.

Serial No.: 09/376,308

Group Art Unit:3624

Filed: August 18, 1999

Examiner: Alain L. Bashore

For: INTEGRATED CIRCUIT FOR PROTOCOL CONTROL

**INFORMATION DISCLOSURE STATEMENT AND
STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
SEP 17 2003
GROUP 3600

September 16, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. One copy of each of these references is attached.

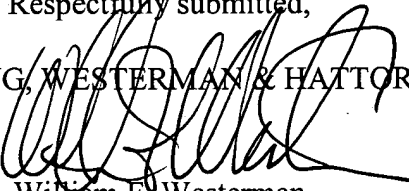
The undersigned hereby certifies that each item of information contained in this statement was first cited in an European Search Report by the European Patent Office in EP Patent Application No. 99306438.5 dated August 11, 2003, not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which, is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


William F. Westerman
Attorney for Applicant(s)
Reg. No. 29, 988

Atty. Docket No. 990934
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

WFW/jli

Enclosures: PTO 1449
References (7)
Communication
Annex to the European Search Report
European Search Report



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): Koken Yamamoto, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 3624

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	4,731,842	Peter R. Smith	3/15/88			
_____	AB	5,577,121	Terry L. Davis	11/19/96			
_____	AC	6,182,900 B1	Gerhard Wiehler	2/6/2001			
_____	AD						
_____	AE						

RECEIVED
SEP 17 2003
GROUP 3600

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AF	DE 44 06 602 A1	9/7/95	Germany	NO
_____	AG	EP 0 807 907 A1	11/19/97	EP	
_____	AH	DE 197 10 249 A	9/17/98	Germany	NO
_____	AI	EP 0 889 449 A1	1/7/99	EP	NO
_____	AJ				

OTHER DOCUMENTS

_____	AK	
_____	AL	
Examiner		Date Considered